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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/624,997	07/23/2003	Peter Fuenfschilling	100-8345E	8182
1095	7590	11/03/2006	EXAMINER	
NOVARTIS CORPORATE INTELLECTUAL PROPERTY ONE HEALTH PLAZA 104/3 EAST HANOVER, NJ 07936-1080			AUDET, MAURY A	
			ART UNIT	PAPER NUMBER
			1654	

DATE MAILED: 11/03/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/624,997	<b>Applicant(s)</b> FUENFSCHILLING ET AL.	
	<b>Examiner</b> Maury Audet	<b>Art Unit</b> 1654	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on \_\_\_\_\_.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 11-17, 19, 21-28, 30-36, 38, 39 and 41-48 is/are pending in the application.
- 4a) Of the above claim(s) 18 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 11-17, 19, 21-28, 30-36, 38, 39 and 41-48 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 23 July 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

**DETAILED ACTION**

The present application has been transferred from former Examiner Shirali to the present Examiner. Claim 18 remains withdrawn. Claims 11-17, 19, 21-28, 30-36, 38-39, and 41-48 are pending and examined on the merits. It is noted that all the claims, except claim 19, are product by process. As the previous Examiner indicated, a product by process is still nevertheless a product (like the claim structure in claim 19): [E]ven though product-by-process claims are limited by and defined by the process, *determination of patentability is based on the product itself. The patentability of a product does not depend on the method of production.* If the product in the product-by-process claim is the *same as or obvious from a product* of the prior art, the claim is unpatentable even though the prior product was made by a different process" (*In re Thorpe*, 777 F2d 695,698, 227 USPQ 964,966 (Fed. Cir.1985)(emphasis added)). [Note: Should Applicant be pursuing a new method of making a known product (e.g. substantially pure to pure (99.5% or >) cyclosporins; see e.g. specification page 6), the appropriate claim format is through a method of making (rather than product or product by process), which may be pursued via a divisional or continuation out of the present application.]

***Priority***

Applicant is reminded that in order for a patent issuing on the instant application to obtain the benefit of priority based on priority papers filed in parent Application No. 10/021,117 (now US 6,620,325 B2) under 35 U.S.C. 119(a)-(d) or (f), a claim for such foreign priority must be timely made in this application. To satisfy the requirement of 37 CFR 1.55(a)(2) for a certified copy of the foreign application, applicant may simply identify the application

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containing the certified copy. Applicant is also asked to identify whether a copy of the foreign priority papers (UK 9618952.7) were filed with the parent application, as such was not clearly found.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim 19 is rejected under 35 U.S.C. 102(b) as being anticipated by Rudat et al. (US 5,256,547).

Rudat et al. teach a bulk quantity of cyclosporin A with an impurity level of less than 0.5% by area using HPLC (see e.g. Example 8, 100% pure cyclosporine A in a bulk quantity of 61.5g).

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 11-17, 19, 21-28, 30-36, 38-39, and 41-48 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rudat et al. (US 5,256,547).

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Rudat et al. is discussed above. Rudat et al. teach a bulk quantity of cyclosporin A with an impurity level of less than 0.5% by area using HPLC (see e.g. Example 8, 100% pure cyclosporine A in a bulk quantity of 61.5g; entire document). Although Rudat et al. teaches a bulk production of cyclosporine (61.5 g), the reference does not expressly teach that one of skill in the art could naturally carry out the same process to produce e.g. greater than 1 kg of the pure cyclosporine (e.g. Applicant's claim 11). [It is noted that Applicant is claiming "substantially pure" to "pure" cyclosporine, since the claimed range is 99.5% or greater up to 100%. "Pure" equates to 100% by the United States Pharmacopoeia and supplement; while "substantially pure" does not require absolute purity of 100% (> 99.5% to 99.999% as claimed)].

It would have been obvious to one of ordinary skill in the art at the time the invention was made to produce a 1 kg or greater quantity of cyclosporine (with greater than 99.5%) in Rudat et al., because Rudat et al. advantageously teach a bulk quantity of PURE cyclosporine, and election to produce a bulk amount of 1 g, 500 g, 1 kg, or 2 million kg's of the same is merely a matter of judicious selection by the manufacturer of the cyclosporine depending on the number of e.g. prescriptions projected to be filled for the following month, year(s) as determined by the manufacturers client requests and internal marketing and research team.

[E]ven though product-by-process claims are limited by and defined by the process, *determination of patentability is based on the **product** itself. The patentability of a **product** does not depend on the method of production.* If the product in the product-by-process claim is the *same as or obvious from a product* of the prior art, the claim is unpatentable even though the prior product was made by a different process" (*In re Thorpe*, 777 F2d 695,698, 227 USPQ 964,966 (Fed. Cir.1985)(emphasis added)).

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From the teachings of the references, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention.

Therefore, the invention as a whole was prima facie obvious to one of ordinary skill in the art at the time the invention was made, as evidenced by the reference, especially in the absence of evidence to the contrary.

### **Prior Art Made of Record**

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Cited merely by example that bulk manufacturing (e.g. greater than 1 kg) of cyclosporine is well known in the pharmaceutical industry, Business Wire published an article as far back as November 1996 (e.g. which would predate Applicant's 9/11/96 priority date) detailing years of previous development by SangStat for a proprietary cyclosporine to be later produced in bulk, for which Eli Lilly was selected to do the bulk manufacturing. (Business Wire, "SangStat Announces Agreement with Eli Lilly for Manufacturing of CYCLOSPORINE; SangStat Retains Worldwide Commercial Rights"; Nov. 6, 1996, [http://www.findarticles.com/p/articles/mim0EIN/is\\_1996\\_Nov\\_6/ai\\_18835470](http://www.findarticles.com/p/articles/mim0EIN/is_1996_Nov_6/ai_18835470)).

### ***Conclusion***

No claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Maury Audet whose telephone number is 571-272-0960. The examiner can normally be reached on M-Th. 7AM-5:30PM (10 Hrs.).

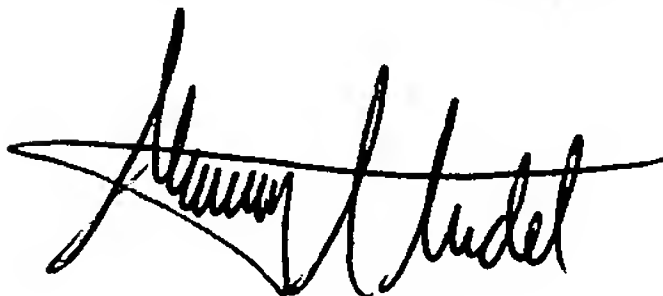
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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecelia Tsang can be reached on 571-272-0562. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

MA, 10/28/2006

MAURY AUDET  
PATENT EXAMINER

A handwritten signature in black ink, appearing to read 'Maury Audet', written in a cursive style.